

The MOEX logo consists of the letters 'MOEX' in a bold, white, sans-serif font, set against a solid red rectangular background.

NATIONAL
CLEARING
CENTRE

A nighttime photograph of a city street, likely in Hong Kong, featuring a prominent building with a distinctive geometric, illuminated facade. The scene is filled with light trails from traffic and streetlights, creating a sense of motion and urban energy. A large red graphic overlay is positioned on the right side of the image, containing the main title.

Return of Collateral from the Standardised Derivatives Market

RETURN OF COLLATERAL FROM THE SD MARKET VIA THE CLEARING TERMINAL AND EDI

The Request for Collateral return is intended for the return of the cash funds recorded as Collateral of the Clearing Member to their accounts or to the accounts of their clients. The request can be sent:

- via the **Clearing Terminal**
- via **EDI** in the established format: in Rubles via the **RURRETURN** request or in foreign currency via the **CURRETURN** request

The return is made using the details of the Account for Collateral return previously registered using:

- the Request for the registration of the Account for Collateral return details submitted via the Clearing Terminal
- the Request for registration of the Account for Collateral return details PAYPROPRQ* submitted via EDI

For information on the time frame for sending a Request for return and other information on returns, please refer to the Standardised Derivatives market page on the NCC website (Collateral Transactions).

* If planning to make a return via SWIFT in the future, the Russian BIC must correspond to SWIFT BIC in accordance with the SWIFT Reference Book when registering the details.

REQUEST FOR COLLATERAL RETURN

1. In main menu choose **Transfers**
2. Then choose **Collateral return**
3. Click **Add request**
4. In the form of the **Request for Collateral return** fill in all fields
5. Click **Sign and send**

Attention: in the drop-down list, in the field Account for Collateral return will be available only those details, which are assigned to the Settlement Account, chosen in the Settlement Account tab.
When making returns on the derivatives market clearing registers **must** be defined.

1. TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

2. Collateral return Collateral transfer Profile transfer

Main ▶ Requests for collateral return

REQUESTS FOR COLLATERAL RETURN

+ Processing requests

+ Search Quick find Refresh [Excel icon] [PDF icon] 3. Add request

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Collateral return Collateral transfer Profile transfer

Main ▶ Requests for collateral return ▶ Request for collateral return

REQUEST FOR COLLATERAL RETURN

Market Choose the market Settlement account Choose the SA

Currency Choose the currency Execution method sum

4. Account for the collateral return

Amount 0

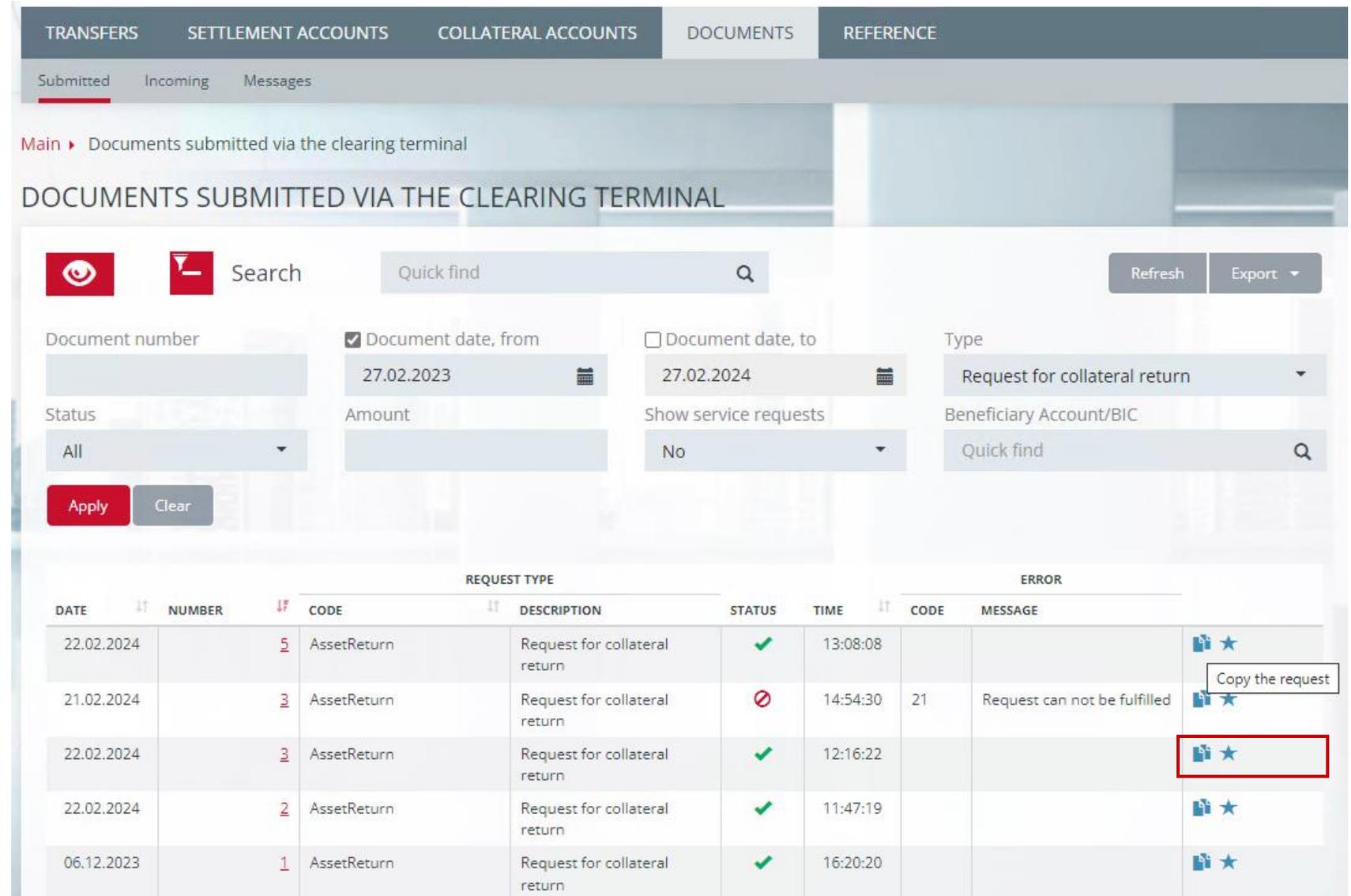
5. Refusal of operation Sign and send

CANCELLING AND COPYING THE REQUEST FOR COLLATERAL RETURN

1. In the Request for Collateral Return window:

1.1  - copying the Request

1.2  - cancelling the Request. Available when the Status is 'Accepted'



TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Submitted Incoming Messages

Main Documents submitted via the clearing terminal

DOCUMENTS SUBMITTED VIA THE CLEARING TERMINAL

Search Quick find Refresh Export

Document number Document date, from Document date, to Type

27.02.2023 27.02.2024 Request for collateral return

Status Amount Show service requests Beneficiary Account/BIC

All No Quick find

Apply Clear

DATE	NUMBER	IF	REQUEST TYPE		STATUS	TIME	ERROR		
			CODE	DESCRIPTION			CODE	MESSAGE	
22.02.2024		5	AssetReturn	Request for collateral return	✓	13:08:08			 
21.02.2024		3	AssetReturn	Request for collateral return	✗	14:54:30	21	Request can not be fulfilled	 
22.02.2024		3	AssetReturn	Request for collateral return	✓	12:16:22			 
22.02.2024		2	AssetReturn	Request for collateral return	✓	11:47:19			 
06.12.2023		1	AssetReturn	Request for collateral return	✓	16:20:20			 

CREATING A COLLATERAL RETURN REQUEST TEMPLATE (1/2)

1. Choose **Transfers**
2. Then choose **Templates**
3. Click **Create a template**

1. TRANSFERS

2. Templates

3. Create a template

Main ▶ Collateral return request templates

COLLATERAL RETURN REQUEST TEMPLATES

+ Search Quick find Refresh Create a template

ID	TEMPLATE NAME	MODIFIED	SA	MARKET	CURRENCY	AMOUNT	EXECUTION METHOD
181	Запрос на возврат 1 млн рублей	09.01.2024 12:11:49	15236	Currency	RUB	100.00	sum
101	Возврат_рубли	06.12.2023 16:20:54	15236	Currency	RUB	100.00	sum

Shown 1 – 2 of 2

The following operations are also available:

- **Changing the template** of the collateral return request – the context menu item of the template entry. Change template attributes by creating a template change request;
- **Deleting a Collateral Return Request Template** is an item in the context menu of the record. Adding a request to delete a template;
- **Request for the return of collateral** is an item in the context menu of the record. Create a collateral return request on the template update.

CREATING A COLLATERAL RETURN REQUEST TEMPLATE (2/2)

1. Specify a **1st level Settlement Account**
2. Specify the **Market**
3. Click **Sign and send**

The screenshot shows the MOEX system interface for creating a collateral return request template. The navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'DOCUMENTS' tab is active, and the breadcrumb trail is 'Main > Documents submitted via the clearing terminal > Collateral return request template'. The main heading is 'COLLATERAL RETURN REQUEST TEMPLATE'. The form contains the following fields:

- Template: [Empty text input]
- Market: Currency [Dropdown menu]
- Settlement account: 15236 Proprietary/Ordinary [Text input with search icon]
- Currency: RUB Russian rubles [Dropdown menu]
- Execution method: sum [Dropdown menu]
- Account for the collateral return: 26438 / [Text input with search icon]
- Russian BIC of the Bank: [Text input]
- Bank: [Text input]
- Personal account: [Text input]
- Client, Personal account: [Text input]
- Amount: 100 [Text input]

At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send'. The 'Sign and send' button is highlighted with a red border.

REQUEST FOR ASSETS PROFILE TRANSFER (1/2)

1. In the main menu choose **Transfers**
2. Then choose **Profile Transfer**
3. Click **Add Request**

The screenshot displays the MOEX National Clearing Centre interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below this, a secondary menu shows 'Collateral return', 'Collateral transfer', 'Profile transfer', 'Payments without settlements at NCC', and 'Templates'. The 'Profile transfer' option is selected and highlighted with a red line and a '2.' label. The main content area is titled 'REQUESTS FOR ASSETS PROFILE TRANSFER' and contains a '+ Processing requests' button, a '+ Search' button, a 'Quick find' search input, and 'Refresh' and 'Export' buttons. The 'Add request' button is highlighted with a red box and labeled '3.'. Below the buttons is a table with columns: ID, STATUS (with a red '17' indicator), NUMBER, SA, TA, CODE, MESSAGE, and ERROR. The table currently displays 'No data available'.

REQUEST FOR ASSETS PROFILE TRANSFER (2/2)

1. Choose a **Unified Pool SA** from the list
2. Choose a Level 1 **Unified Pool TA**
3. Fill in the Balance under currencies* by indicating the debited Market, Asset and Amount with a minus sign as well as the credited Market, Asset and Amount with a plus sign
4. Click **Sign and send**

The screenshot displays the 'REQUEST FOR ASSETS PROFILE TRANSFER' form. At the top, there are navigation tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below these are sub-tabs: Collateral return, Collateral transfer, Profile transfer (highlighted), Urgent transfers of securities, and Templates. The main breadcrumb is 'Main > Requests for assets profile transfer > Request for assets profile transfer'. The form title is 'REQUEST FOR ASSETS PROFILE TRANSFER'. It features two input fields: 'SA: 15234 Proprietary/Ordinary' (marked with a red '1.') and 'TA: 500+00002393' (marked with a red '2.'). Below these is the 'Balance under currencies' section. It contains three rows, each with a dropdown for 'Market', a dropdown for 'Asset', and a text input for 'Amount'. The first row is for 'Securities' with 'RUB Russian rubles' selected for both Market and Asset, and '-10' entered in the Amount field. The second row is for 'Currency' with 'RUB Russian rubles' selected for both Market and Asset, and '10' entered in the Amount field. A red bracket groups the first three rows, labeled with a red '3.'. At the bottom right, there is a red box around the 'Sign and send' button, labeled with a red '4.'. Other buttons include 'Add the asset', 'Add amount', 'Add the market', and 'Refusal of operation'.

EXAMPLES OF FILLED IN REQUESTS FOR RETURN IN TXT FORMAT:

Return of collateral in Russian Rubles:

```
27.05.19  1      MC0111100000  RURRETURN
UVR_CODE=06439
AMOUNT=1000,00
MARKET=OT
SECTOR=VALRUR
BIC=0444444444
CORR_ACC=30109810111111111111
ACC=3041181099999999999999
INN=7777777777
TYPESUMM=1
```

1. Values on the top line must be separated by a tab character:
 - ✓ The date of the document must match the date of filing the request;
 - ✓ The number of the document must be unique during the day;
 - ✓ The member specifies their securities market identifier of type MC;
 - ✓ The name of the document for return of USD or EUR – CURRETURN
2. The field UVR_CODE must contain a 5-digit Settlement Account code, from which the return is made
3. The field AMOUNT – amount for return
4. The field MARKET indicates the markets, OT – SD market code
5. Value of field SECTOR is always = VALRUR
6. Then the details of the Account for return previously registered at the NCC are specified
7. The field TYPESUMM – type of requested amount:
 - 1 – specified amount
 - 5 – maximum available amount

EXAMPLES OF FILLED IN REQUESTS FOR RETURN IN TXT FORMAT:

Return of Collateral in USD or Euro:

```
27.05.19  2      MC0111100000  CURRETURN
UVR_CODE=06439
CURRENCY=USD
AMOUNT=100000,00
MARKET=OT
SECTOR=VALRUR
F58A_ACC=/30111840111111111111
F58A_BIC=SWIFTCODE
TYPESUMM=1
```

1. Values on the top line must be separated by a tab character:
 - ✓ The date of the document must match the date of filing the request;
 - ✓ The number of the document must be unique during the day;
 - ✓ The member specifies their securities market identifier of type MC;
 - ✓ The name of the document for return of USD or EUR – CURRETURN
2. The field UVR_CODE must contain a 5-digit Settlement Account code, from which the return is made
3. The field CURRENCY contains the code of the currency, either USD or EUR
4. The field AMOUNT – amount for return
5. The field MARKET indicates the markets, OT – SD market code
6. Value of field SECTOR is always = VALRUR
7. Then the details of the Account for return previously registered at the NCC are specified
8. The field TYPESUMM – type of requested amount:
 - 1 – specified amount
 - 5 – maximum available amount